



## Centers for Disease Control and Prevention Fiscal Year (FY) 2011 Grants Funding Data

### Frequently Asked Questions

#### Table of Contents

- [What is the purpose of the CDC Funding Profiles tool?](#)
- [What updates has CDC made to the funding tool?](#)
- [Were any changes made to the parameters of the data for FY2011 \(what included/what excluded\)?](#)
- [What are the major factors that account for differences in funding among jurisdictions?](#)
- [What factors should be taken into consideration when comparing data across fiscal years \(i.e., from 2010 to 2011\)?](#)
- [Through what mechanisms does CDC award grants to states and territories?](#)
- [What are the average characteristics of the grants competitive process?](#)
- [Where can I find more information on CDC's budget and funding opportunities?](#)

#### **Q: What is the purpose of the CDC Funding Profiles tool?**

**A:** The Centers for Disease Control and Prevention (CDC) is increasing its efforts to provide our partners and the public with funding and other grants data. The CDC Funding Profiles tool was launched on February 17, 2011 and contained fiscal year (FY) 2010 data. It provides quick access to information on CDC funding provided to recipients in states, the District of Columbia, and territories to address issues of public health importance. These investments include funding provided to state and local health departments, universities, and other public and private agencies for a variety of public health programs.

#### **Q: What updates has CDC made to the funding tool?**

**A:** CDC made the following updates to the CDC Funding Profiles tool:

- Added the FY 2011 data set and jurisdictional summaries, FY 2011 "About the Data" file, and "What's New" file
- Added the ability to choose what year of data to view. The tool opens by default to the latest year of data available (i.e., FY 2011). Users can choose to select and view/obtain data from previous fiscal years (i.e., FY 2010).
- Updated the interface for the Query Tab

#### **Q: Were any changes made to the parameters of the data for FY2011 (what included/what excluded)?**

**A:** Yes.

- More subcategories are tracked in the Public Health and Prevention Fund (PPHF)/Other ACA category in FY 2011 than in FY 2010. In addition, all PPHF grants and cooperative agreements are included, even if they meet any of the criteria for exclusion under other data rules.
- Funding for the Behavioral Risk Factor Surveillance System is included.
- The Data Exclusions section has been updated to reflect the two issues above and in some cases to provide some more granularity for specific issues, such as World Trade Center funds: The following grants and cooperative agreements data were outside the scope of this profile and were excluded: funding of International activities, Reimbursable Agreements (funds from other federal agencies to support their missions), Cooperative Research and Development Agreements, Royalties, User Fees and Intra-Departmental Delegation of Authority funds (fund transfers to CDC from DHHS), PEPFAR (President's Emergency Plan for AIDS Relief), Gifts and Donations, American Reinvestment and Recovery Act (ARRA) funds, Public Health Scientific Services (except BRFSS) funds, Global Health funds, Business Services Support funds, Buildings and Facilities funds, Public Health Improvement and Leadership funds, Public Health



Workforce/Workforce Development funds (except for ACA), World Trade Center funds, Public Health Preparedness SBIR and PHS Evaluation Set-Aside funds and Public Health Service funds.

- Full details on what data were included and what data were excluded are available in the FY 2011 “About the Data” file.

**Q: What are the major factors that account for differences in funding among jurisdictions?**

**A:** These data are useful in describing in general the variation in CDC funding by state, DC, territory, and funding category. However, caution should be used in interpreting these variations. Several of the reasons for variations are

- Eligible grantees in states or territories do not apply for every funding opportunity
- Most federal funding is awarded via a competitive or merit-based process. Not all states or territories that apply are funded for every program.
- Some funding is allocated according to a pre-set formula (such as per capita allocation or a need-based funding allocation). Sometimes these allocation formulas are specified by law. For example, the allocation of funds under the Vaccine for Children program is mandated by the Omnibus Budget Reconciliation Act of 1993.
- CDC has many different grant types with differing application eligibility and award criteria, such as population disease burden, per capita formula-based, competitive based on proposal merit, non-competitive, etc.
- Awards made to an entity will typically reflect the geographic location of the entity’s official business or billing address such as a State capitol for a State government award. The awards therefore will not reflect the actual geographic application of the funds by the grantee in carrying out the purpose of the grant.
- CDC makes awards to various national associations that carry out national public health programs that have nationwide impact; however, the awards will be reflected in the geographic jurisdiction of the association’s main office.

**Q: What factors should be taken into consideration when comparing data across fiscal years (i.e., from 2010 to 2011)?**

**A:**

- There may be variation across years due to changes in where a program/activity resides in CDC’s organizational and budget structure. Funding sources linked to a particular program/activity also may vary year-to-year. Therefore, it is possible for a multi-year project to fall into one category or sub-category one year and into another the next. This makes 1:1 comparisons of one year’s set of projects to another year’s set of projects under each category or sub-category a challenge.
- Two major trends affecting the data from FY 2010 to FY 2011 were the following: (1) increased Prevention and Public Health Fund/Other ACA funding and implementation, and (2) the fact that CDC’s FY 2011 base budget was cut \$740M—more than 11%—from the FY 2010 level.

**Q: Through what mechanisms does CDC award grants to states and territories?**

**A:** There are three mechanisms for awarding grant funds: Block Grants, Mandatory Grants, and Competitive/Discretionary grants. Each grantee receives allocated funds by formula through Block Grants. Mandatory Grants are grants that a Federal agency is required by statute to award if the recipient (usually a State, Territory, or Tribe) submits an acceptable State Plan or application and meets the eligibility and compliance requirements of the statutory and regulatory provisions of the grant program. Discretionary/competitive grants are grants that permit the Federal Government, according to specific authorizing legislation, to exercise judgment (“discretion”) in selecting the applicant/recipient organization, through a competitive grant process.

**Q: What are the average characteristics of the grants competitive process?**

**A:** CDC receives tens of thousands of proposals in response to competitive Funding Opportunity Announcements (FOAs) each year for health, research, education and training projects, of which approximately 25% are funded. The grant funding process is highly competitive and involves multiple steps. First, an initial screening of an application is conducted to ensure it provides adequate information and complies with the requirements set forth in the agency's funding opportunity announcement. After the initial screening is complete, the application is submitted to an independent panel of peers or experts, a standing review committee, or a group of field readers for review in accordance with the evaluation criteria included in the FOA. The review groups are made up of qualified subject matter experts with in-depth knowledge of program issues directly related to the agency's mission. The reviews are fair, equitable, timely, and conducted in a manner free of bias. Once the application review is complete, written recommendations are provided to program management staff and the agency's leadership, who make the final determination regarding funding. Funds for competitive grants are not allocated; they are awarded based on the merit of each application.

The results of any FOA can be queried by contacting the CDC Grants Management Officer listed in the announcement at [www.grants.gov](http://www.grants.gov). The grants award process is inherently transparent if applicants avail themselves of the resources offered by CDC. Applications must be well written and responsive to the subject FOA. Guides to writing grant applications are widely available on the Internet. For an example, see the "All About Grants" section of Grants.gov at [http://www.grants.gov/applicants/all\\_about\\_grants.jsp](http://www.grants.gov/applicants/all_about_grants.jsp).

**Q: Where can I find more information on CDC's budget and funding opportunities?**

**A:** More information on the CDC budget and grantee information can be found on the following sites:

- CDC Financial Management Office - <http://www.cdc.gov/fmo/>
- CDC's Procurement and Grants Office – <http://www.cdc.gov/about/business/funding.htm>