RULES FOR ADMINISTERING THE INCOME SECTION OF THE FAMILY QUESTIONNAIRE:

1. FOR THE PURPOSE OF ADMINISTERING THIS SECTION "FAMILY MEMBER" IN FILLS AND DISPLAYS REFER TO THE 'NHANES FAMILY' AS DESCRIBED BELOW.

CPS GROUP 1

- EITHER AN INDIVIDUAL HOUSEHOLDER OR A PRIMARY FAMILY
- SECONDARY INDIVIDUALS WHO ARE RELATED TO THEM AS A PARTNER, FOSTER PARENT, OR FOSTER CHILD.

OR

CPS GROUP 2

- UNRELATED SUBFAMILIES.

AND

- SECONDARY INDIVIDUALS WHO ARE RELATED TO THEM AS A PARTNER, FOSTER PARENT, OR FOSTER CHILD.

OR

CPS GROUP 3

- SECONDARY INDIVIDUALS WHO ARE NOT RELATED TO ANY INDIVIDUALS ABOVE.

2. EXCEPTION:

A. QUESTIONS ABOUT TOTAL FAMILY INCOME ARE ASKED ONCE FOR EACH CPS FAMILY. THAT IS ONCE FOR THE HOUSEHOLDER, PRIMARY FAMILY, RELATED SUBFAMILY, UNRELATED SUBFAMILY, AND SECONDARY INDIVIDUAL INCLUDED IN A GROUP.

B. IF THERE ARE OTHER PERSONS IN THE HOUSEHOLD (IN ADDITION TO PERSONS IN THE NHANES FAMILY), TOTAL HOUSEHOLD INCOME WILL ALSO BE ASKED IF THIS IS FAMILY #1.

The next questions are about {your/your combined family} income in the last 12 months. When answering these questions, please remember that by ("income/combined family income"), I mean {your
income/your income plus the income of all family members living in the household) before taxes. This would include income sources that you collect on behalf of children like SSI, WIC, etc.

Did (you/you or any family members 16 years old and older, that is: you or OTHER FAMILY MEMBERS 16+) receive income in the last 12 months from self-employment including business and farm income?

YES ............................................................... 1
NO ................................................................. 2 (INQ.020)
REFUSED ................................................... 7 (INQ.020)
DON'T KNOW .............................................. 9 (INQ.020)

INQ.017 Who received income from self-employment?
PROBE: Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

SELECT ........................................................ 1
REFUSED .................................................. 7
DON'T KNOW ............................................. 9

INQ.020 Did (you/you or OTHER FAMILY MEMBERS 16+) receive income in the last 12 months from wages and salaries?

YES ............................................................... 1
NO ................................................................. 2 (INQ.030)
REFUSED ................................................... 7 (INQ.030)
DON'T KNOW .............................................. 9 (INQ.030)
INQ.026 Who received income from wages and salaries?

**PROBE:** Anyone else?

**CAPI INSTRUCTION:**
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

- SELECT ......................................................... 1
- REFUSED ..................................................... 7
- DON'T KNOW ................................................ 9

INQ.030 Did {you/you or any} family members living here, that is: you or NAME(S) OF OTHER FAMILY MEMBERS receive income in the last 12 months from Social Security or Railroad Retirement?

- YES ............................................................... 1
- NO ................................................................. 2 (INQ.060)
- REFUSED ..................................................... 7 (INQ.060)
- DON'T KNOW ................................................ 9 (INQ.060)

**BOX 1D**

CHECK ITEM INQ.032:
IF ONLY ONE FAMILY MEMBER, FLAG PERSON AS SELECTED AND GO TO INQ.040.

INQ.034 Who received income from Social Security or Railroad Retirement?

**PROBE:** Anyone else?

**CAPI INSTRUCTION:**
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

- SELECT ......................................................... 1
- REFUSED ..................................................... 7
- DON'T KNOW ................................................ 9

INQ.040 Was the Social Security or Railroad Retirement income received as a disability benefit?

- YES ............................................................... 1
- NO ................................................................. 2 (INQ.060)
- REFUSED ..................................................... 7 (INQ.060)
- DON'T KNOW ................................................ 9 (INQ.060)
INQ.050  Who received income from Social Security or Railroad Retirement as a disability benefit?
PROBE: Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF FAMILY MEMBERS.
SELECT NAME(S) FROM ROSTER

SELECT ......................................................... 1
REFUSED ..................................................... 7
DON'T KNOW................................................ 9

INQ.060  Did {you/you or any family members living here} receive any disability pension [other than Social Security or Railroad Retirement] in the last 12 months?

YES ............................................................... 1
NO ................................................................. 2 (INQ.080)
REFUSED ..................................................... 7 (INQ.080)
DON'T KNOW................................................ 9 (INQ.080)
INQ.080  Did (you/you or any family members living here) receive retirement or survivor pension [other than Social Security or Railroad Retirement or disability pension] in the last 12 months?

YES ...............................................................  1
NO .................................................................  2 (INQ.090)
REFUSED .....................................................  7 (INQ.090)
DON'T KNOW................................................  9 (INQ.090)

BOX 2B

CHECK ITEM INQ.083:
IF ONLY ONE FAMILY MEMBER, FLAG PERSON AS SELECTED AND GO TO INQ.090.

INQ.085  Who received retirement or survivor pension?

PROBE:  Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

SELECT.........................................................  1
REFUSED .....................................................  7
DON'T KNOW................................................  9

INQ.090  Did (you/you or any family members living here) receive Supplemental Security Income [SSI] in the last 12 months?

YES ...............................................................  1
NO .................................................................  2 (INQ.132)
REFUSED .....................................................  7 (INQ.132)
DON'T KNOW................................................  9 (INQ.132)

BOX 2C

CHECK ITEM INQ.093:
IF ONLY ONE FAMILY MEMBER, FLAG PERSON AS SELECTED AND GO TO INQ.100.
INQ.095  Who received Supplement Security Income [SSI]?

PROBE:  Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

SELECT......................................................... 1
REFUSED ..................................................... 7
DON'T KNOW................................................ 9

INQ.100  Was the SSI received because of a disability?

YES ............................................................... 1
NO ................................................................. 2 (INQ.132)
REFUSED ..................................................... 7 (INQ.132)
DON'T KNOW................................................ 9 (INQ.132)

BOX 3A

CHECK ITEM INQ.105A:
IF ONLY ONE FAMILY MEMBER SELECTED IN INQ.095, FLAG PERSON AS RECEIVING BENEFIT AND GO TO INQ.132.

INQ.120  Who received SSI because of a disability?

PROBE:  Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF ALL FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

SELECT......................................................... 1
REFUSED ..................................................... 7
DON'T KNOW................................................ 9
INQ.132  At any time in the last 12 months, even for 1 month, did you or any family members living here, that is: you or NAMES OF OTHER FAMILY MEMBERS receive any cash assistance from a state or county welfare program such as (DISPLAY SPECIFIC STATE PROGRAMS)?

CAPI INSTRUCTION:
DISPLAY FULL NAMES OF ALL STATE PROGRAMS FOR STATE IN WHICH INTERVIEW IS BEING CONDUCTED. NAMES FOR EACH STATE WILL BE SENT TO PROGRAMMING IN A SEPARATE FILE.

YES ............................................................... 1
NO ................................................................. 2 (INQ.137)
REFUSED ..................................................... 7 (INQ.137)
DON'T KNOW................................................ 9 (INQ.137)

BOX 3AA

CHECK ITEM INQ.131A:
IF ONLY ONE FAMILY MEMBER, FLAG PERSON AS SELECTED AND GO TO INQ.137, OTHERWISE, CONTINUE.

INQ.133  Who received government payments?

PROBE: Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

SELECT......................................................... 1
REFUSED ..................................................... 7
DON'T KNOW................................................ 9

INQ.137  In the last 12 months, did you or any family member living here receive any other kind of welfare assistance, such as help with getting a job, placement in educational or job training programs, or help with transportation or child care?

YES ............................................................... 1
NO ................................................................. 2 (INQ.850)
REFUSED ..................................................... 7 (INQ.850)
DON'T KNOW................................................ 9 (INQ.850)

BOX 3B

CHECK ITEM INQ.138B:
IF ONLY ONE FAMILY MEMBER, FLAG PERSON AS SELECTED AND GO TO INQ.850.
OTHERWISE, CONTINUE.
INQ.139  Who received other welfare assistance?
PROBE:  Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

SELECT................................. 1
REFUSED............................. 7
DON'T KNOW.......................... 9

INQ.850  Are {you/you or any} family members living here) paying lower rent because the Federal, state, or local government is paying part of the cost?

YES................................. 1
NO................................. 2
REFUSED............................ 7
DON'T KNOW.......................... 9

INQ.140  Did {you/you or any} family members living here) receive interest from savings or other bank accounts or income from dividends received from stocks or mutual funds or net rental income from property, royalties, estates, or trusts in the last 12 months?

YES................................. 1
NO................................. 2 (INQ.150)
REFUSED............................ 7 (INQ.150)
DON'T KNOW.......................... 9 (INQ.150)

BOX 3C
CHECK ITEM INQ.143:
IF ONLY ONE FAMILY MEMBER, FLAG PERSON AS SELECTED AND GO TO INQ.150.
OTHERWISE, CONTINUE.

INQ.145  Who received interest, dividend or rental income?
PROBE:  Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

SELECT................................. 1
REFUSED............................. 7
DON'T KNOW.......................... 9
INQ.150 Did {you/you or any family members living here} receive income in the last 12 months from child support, alimony, contributions from family or others, VA payments, worker’s compensation, or unemployment compensation?

YES ............................................................... 1
NO .................................................................... 2 (BOX 4A)
REFUSED ....................................................... 7 (BOX 4A)
DON'T KNOW ................................................ 9 (BOX 4A)

BOX 3D
CHECK ITEM INQ.153:
IF ONLY ONE FAMILY MEMBER, FLAG PERSON AS SELECTED AND GO TO BOX 4A.
OTHERWISE, CONTINUE.

INQ.155 Who received income from child support, alimony, contributions from family or others, VA payments, workers compensation or unemployment compensation?

PROBE: Anyone else?

CAPI INSTRUCTION:
DISPLAY NAMES OF FAMILY MEMBERS.

SELECT NAME(S) FROM ROSTER

SELECT ......................................................... 1
REFUSED ......................................................... 7
DON'T KNOW ................................................ 9

BOX 4A
CHECK ITEM INQ.157A:
IF 'YES' TO RECEIVED CASH ASSISTANCE (CODE 1 IN INQ.132), CONTINUE.
OTHERWISE, GO TO BOX 4B.
INQ.161 Earlier I recorded that {you/you and OTHER NAMES SELECTED IN INQ.133/NAMES SELECTED IN INQ.133} received cash assistance from a state or county welfare program in the last 12 months. In the last 12 months, about how many months did anyone receive these payments?

{DISPLAY SPECIFIC STATE PROGRAMS}
IF LESS THAN 1 MONTH, ENTER 1

|___|___|
ENTER NUMBER OF MONTHS

REFUSED ..................................................... 77
DON'T KNOW ............................................. 99

CAPI INSTRUCTION:
DISPLAY FULL NAMES OF STATE PROGRAMS FOR STATE IN WHICH INTERVIEW IS BEING CONDUCTED. NAMES FOR EACH STATE WILL BE SENT TO PROGRAMMING IN A SEPARATE FILE.

BOX 4C

CHECK ITEM INQ.167B:
IF 'YES' TO RECEIVE OTHER WELFARE ASSISTANCE (CODE 1 IN INQ.137), CONTINUE. OTHERWISE, GO TO BOX 4B.

INQ.169 Earlier I recorded that {you/you and OTHER NAMES SELECTED IN INQ.139/NAMES SELECTED IN INQ.139} received other types of welfare assistance, such as help with getting a job, placement in education or job training programs or help with transportation or child care. In the last 12 months, about how many months did anyone receive this type of assistance?

IF LESS THAN 1 MONTH, ENTER 1

|___|___|
ENTER NUMBER OF MONTHS

REFUSED ..................................................... 77
DON'T KNOW ............................................. 99

BOX 4B

CHECK ITEM INQ.163B:
IF 'YES' TO RECEIVED HOUSING ASSISTANCE (CODE 1 IN INQ.850, CONTINUE). OTHERWISE, GO TO BOX 5.
INQ.164 Earlier I recorded that (you/you or someone in your family) received lower rent because the Federal, state, or local government is paying part of the cost. In the last 12 months, about how many months did anyone receive this type of assistance?

IF LESS THAN 1 MONTH, ENTER 1

|___|___|
ENTER NUMBER OF MONTHS

REFUSED ..................................................... 77
DON'T KNOW................................................ 99

BOX 5

LOOP 2:
ASK INQ.200 - INQ.230 FOR
1. EACH CPS FAMILY (HOUSEHOLDER, PRIMARY FAMILY, RELATED SUBFAMILY, UNRELATED SUBFAMILY, SECONDARY INDIVIDUAL) INCLUDED IN THE NHANES FAMILY AND THEREFORE INCLUDED IN THIS INTERVIEW.

AND

2. FOR THE ENTIRE HOUSEHOLD IF:

■ THIS IS THE FIRST NHANES FAMILY IN THE HOUSEHOLD
AND

■ THERE ARE PERSONS IN THE HOUSEHOLD WHO ARE NOT INCLUDED IN THE FIRST NHANES FAMILY IN THE HOUSEHOLD

INQ.200 Now I am going to ask about the total combined income for (you/NAME(S) OF CPS FAMILY MEMBERS/all the persons in your household: that is all the persons we have talked about and NAMES OF ADDITIONAL HOUSEHOLD MEMBERS) in the last 12 months, including income from all sources we have just talked about such as wages, salaries, Social Security or retirement benefits, help from relatives and so forth. Can you tell me that amount before taxes?

CAPI INSTRUCTIONS:
■ DISPLAY "YOU" IF ONLY 1 PERSON IN THE HOUSEHOLD.
■ DISPLAY "NAMES OF CPS FAMILY MEMBERS" IF THERE IS MORE THAN 1 PERSON IN THE HOUSEHOLD AND ASKING QUESTIONS FOR CPS FAMILY.
■ DISPLAY "ALL THE PERSONS IN YOUR HOUSEHOLD . . ." IF ASKING QUESTIONS FOR HOUSEHOLD – SEE BOX 5.

$ |___|___|___|___|___|___|___|___|___| (GO TO BOX 5A)

REFUSED ..................................................... 7777777777
DON'T KNOW........................................... 9999999999
CHECK ITEM INQ.210:
IF INCOME ENTERED IN INQ.200 (ALL TOTAL INCOME QUESTIONS) IS 5 OR 6 DIGITS, SKIP TO BOX 6.
OTHERWISE (TOTAL INCOME IS 4 OR LESS DIGITS OR 7 OR MORE DIGITS), CONTINUE.

INQ.215 INTERVIEWER:
YOU HAVE ENTERED (DISPLAY INCOME ENTERED IN TOTAL INCOME QUESTION – INQ.200A, B, C, ETC.) FOR FAMILY TOTAL NUMBER. IS THIS CORRECT?

CAPI INSTRUCTION:
DISPLAY INCOME WITH COMMAS IN APPROPRIATE PLACES – EXAMPLE: 4,000 – IF THIS CANNOT BE DONE, PLEASE NOTIFY DESIGN GROUP.

YES ............................................................... 1
NO ................................................................. 2 (RETURN TO INQ.200)

INQ.220 You may not be able to give us an exact figure for (your/NAME(S) OF CPS FAMILY MEMBERS/your total household) income, but can you tell me if this income in the last 12 months was . . .

PROBE: Income is important in analyzing the health information we collect. For example, this information helps us to learn whether persons in one income group use certain types of medical services or have certain conditions more or less often than those in another group.

CAPI INSTRUCTIONS:
- DISPLAY "YOUR" IF ONLY 1 PERSON IN THE HOUSEHOLD.
- DISPLAY "NAMES OF CPS FAMILY MEMBERS" IF THERE IS MORE THAN 1 PERSON IN THE HOUSEHOLD AND ASKING QUESTIONS FOR CPS FAMILY.
- DISPLAY "YOUR TOTAL HOUSEHOLD" IF ASKING QUESTIONS FOR HOUSEHOLD – SEE BOX 5.

$20,000 or more, or ........................................ 1
less than $20,000? ........................................ 2
REFUSED ..................................................... 7 (BOX 6)
DON'T KNOW ................................................ 9 (BOX 6)
INQ.230 Of these income groups, can you tell me which letter best represents {your/NAME(S) OF CPS FAMILY MEMBERS/your total household} income in the last 12 months?

HAND CARD {INQ2/INQ3}

ENTER LETTER(S) CORRESPONDING TO TOTAL COMBINED FAMILY INCOME.

CAPI INSTRUCTIONS:
- DISPLAY "YOUR" IF ONLY 1 PERSON IN THE HOUSEHOLD.
- DISPLAY "NAMES OF CPS FAMILY MEMBERS" IF THERE IS MORE THAN 1 PERSON IN THE HOUSEHOLD AND ASKING QUESTIONS FOR CPS FAMILY.
- DISPLAY "YOUR TOTAL HOUSEHOLD" IF ASKING QUESTIONS FOR HOUSEHOLD – SEE BOX 5.
- IF CODE 1 IN INQ.220, DISPLAY {HAND CARD INQ2} AND DISPLAY ONLY THOSE RESPONSE OPTIONS ASSOCIATED WITH HAND CARD 2.
- IF CODE 2 IN INQ.220, DISPLAY {HAND CARD INQ3} AND DISPLAY ONLY THOSE RESPONSE OPTIONS ASSOCIATED WITH HAND CARD 3.

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REFUSED ..................................................... 77
DON'T KNOW ............................................. 99

END LOOP 2:
ASK INQ.200 - INQ.230 FOR NEXT CPS FAMILY. IF NO NEXT CPS FAMILY, ASK INQ.200 – INQ.230 FOR HOUSEHOLD IF:

- THIS IS THE FIRST NHANES FAMILY IN THE HOUSEHOLD AND
- THERE ARE PERSONS IN THE HOUSEHOLD WHO ARE NOT INCLUDED IN THE FIRST NHANES FAMILY IN THE HOUSEHOLD.

IF NO HOUSEHOLD QUESTIONS REQUIRED, GO TO END OF SECTION.
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Y. $24,000 - $24,999
Z. $25,000 - $25,999
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BB. $27,000 - $27,999
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HH. $33,000 - $33,999
II. $34,000 - $34,999
JJ. $35,000 - $39,999
KK. $40,000 - $44,999
LL. $45,000 - $49,999
MM. $50,000 - $54,999
NN. $55,000 - $59,999
OO. $60,000 - $64,999
PP. $65,000 - $69,999
QQ. $70,000 - $74,999
RR. $75,000 and over